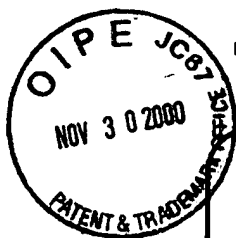


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|--|----------------------|----------------------------|----------------------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 09/558,694                 |                            |
|  | Filing Date          | April 25, 2000             |                            |
|  | First Named Inventor | William James Gilbert, Jr. |                            |
|  | Group Art Unit       | 3672                       |                            |
|  | Examiner Name        |                            |                            |
| Total Number of Pages in This Submission   | 15                   | Attorney Docket Number     | 990474-U1-USA (AHALL.0131) |

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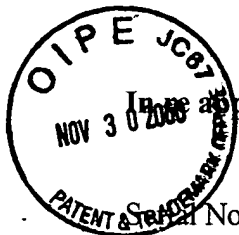
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| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
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| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                |
|--|--------------------------------|
| Firm or Individual name                    | CARSTENS, YEE & CAHOON, L.L.P. |
| Signature                                  |                                |
| Date                                       | November 28, 2000              |

| CERTIFICATE OF MAILING  |                               |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>November 28, 2000</u> |                               |
| Typed or printed name <u>Robin Hewett</u>   |                               |
| Signature   | Date <u>November 28, 2000</u> |

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: **William James Gilbert, Jr.**  
**Corbin Glenn**

Serial No.: 09/558,694

Filed: April 25, 2000

Title: Method And Apparatus For Locking Charges Into A Charge Holder

Group: 3672

Examiner:

Attorney Docket No.: 990474 U1 USA (AHALL.0131)

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 50-0392. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Applicant's Deposit Account No. 50-0392. A duplicate copy of this document is enclosed.

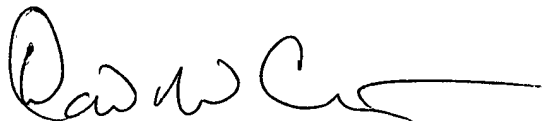
In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete

disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

Respectfully submitted,

Date: Dec. 28, 2000



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